

# Physical Yukawa Couplings in Heterotic String Theory from Localisation

Andrei Constantin (Uppsala University)

String Phenomenology, Warsaw, 4 July 2018

work in collaboration with  
Stefan Blesneag, Evgeny Buchbinder, Andre Lukas and Eran Palti

# Physical Yukawa Couplings

The calculation of physical Yukawa couplings in string theory proceeds in three steps:

# Physical Yukawa Couplings

The calculation of physical Yukawa couplings in string theory proceeds in three steps:

1. the holomorphic Yukawa couplings, that is, the trilinear couplings in the superpotential have to be determined (easy)

## Physical Yukawa Couplings

The calculation of physical Yukawa couplings in string theory proceeds in three steps:

1. the holomorphic Yukawa couplings, that is, the trilinear couplings in the superpotential have to be determined (easy)
2. the calculation of the matter field Kähler metric which determines the field normalisation and the re-scaling required to convert the holomorphic into the physical Yukawa couplings (hard)

## Physical Yukawa Couplings

The calculation of physical Yukawa couplings in string theory proceeds in three steps:

1. the holomorphic Yukawa couplings, that is, the trilinear couplings in the superpotential have to be determined (easy)
2. the calculation of the matter field Kähler metric which determines the field normalisation and the re-scaling required to convert the holomorphic into the physical Yukawa couplings (hard)
3. stabilising the moduli and inserting their values into the moduli-dependent expressions for the physical Yukawa couplings to obtain actual numerical values (hard)

## Context:

- Heterotic  $E_8 \times E_8$  string theory on Calabi-Yau threefold  $X$
- Observable vector bundle  $V \rightarrow X$  with structure group  $H \subset E_8$
- Low-energy gauge group  $G = \mathcal{C}_{E_8}(H)$

## Context:

- Heterotic  $E_8 \times E_8$  string theory on Calabi-Yau threefold  $X$
- Observable vector bundle  $V \rightarrow X$  with structure group  $H \subset E_8$
- Low-energy gauge group  $G = \mathcal{C}_{E_8}(H)$
- Matter multiplets  $C^i$  are in 1-to-1 correspondence with harmonic bundle-valued  $(0, 1)$ -forms:

$$C^i \leftrightarrow \nu_i \in H^1(X, V) , \text{ harmonic}$$

$$\bar{\partial}_V \nu = 0 \qquad \bar{\partial}_V^\dagger \nu = 0$$

## Context:

- Heterotic  $E_8 \times E_8$  string theory on Calabi-Yau threefold  $X$
- Observable vector bundle  $V \rightarrow X$  with structure group  $H \subset E_8$
- Low-energy gauge group  $G = \mathcal{C}_{E_8}(H)$
- Matter multiplets  $C^i$  are in 1-to-1 correspondence with harmonic bundle-valued  $(0, 1)$ -forms:

$$C^i \leftrightarrow \nu_i \in H^1(X, V) , \text{ harmonic}$$

$$\bar{\partial}_V \nu = 0 \qquad \bar{\partial}_V^\dagger \nu = 0$$

- In this talk,  $V$  is a sum of line bundles



## Holomorphic Yukawa Couplings

$$\lambda_{ijk} C^i C^j C^k \subset W$$

$$\lambda_{ijk} = \int_X \Omega \wedge \nu_i \wedge \nu_j \wedge \nu_k$$

## Holomorphic Yukawa Couplings

$$\lambda_{ijk} C^i C^j C^k \subset W$$

$$\lambda_{ijk} = \int_X \Omega \wedge \nu_i \wedge \nu_j \wedge \nu_k$$

Holomorphic Yukawa couplings are independent of representatives

$$\int_X \Omega \wedge (\nu_i + \bar{\partial}\xi_i) \wedge (\nu_j + \bar{\partial}\xi_j) \wedge (\nu_k + \bar{\partial}\xi_k) = \int_X \Omega \wedge \nu_i \wedge \nu_j \wedge \nu_k ,$$

## The Matter Field Kähler Metric

$$G_{ij} C^i \bar{C}^j \in K$$

$$\begin{aligned} G_{ij} &= \frac{1}{2\mathcal{V}} (\nu_i, \nu_j) \\ &= \frac{1}{2\mathcal{V}} \int_X \nu_i \wedge \bar{*}_V(\nu_j) = -\frac{i}{4\mathcal{V}} \int_X \nu_i \wedge J \wedge J \wedge (H\bar{\nu}_j) \end{aligned}$$

## The Matter Field Kähler Metric

$$G_{ij} C^i \bar{C}^j \in K$$

$$\begin{aligned} G_{ij} &= \frac{1}{2\mathcal{V}} (\nu_i, \nu_j) \\ &= \frac{1}{2\mathcal{V}} \int_X \nu_i \wedge \bar{*}_V(\nu_j) = -\frac{i}{4\mathcal{V}} \int_X \nu_i \wedge J \wedge J \wedge (H\bar{\nu}_j) \end{aligned}$$

Difficulties:  $G_{ij}$  depends on

- the Ricci-flat Kähler form  $J$
- the bundle metric  $H$  associated with the hermitian Yang-Mills connection
- harmonic representatives  $\nu_i$

## The Matter Field Kähler Metric

$$G_{ij} C^i \bar{C}^j \subset K$$

$$\begin{aligned} G_{ij} &= \frac{1}{2\mathcal{V}} (\nu_i, \nu_j) \\ &= \frac{1}{2\mathcal{V}} \int_X \nu_i \wedge \bar{*}_V(\nu_j) = -\frac{i}{4\mathcal{V}} \int_X \nu_i \wedge J \wedge J \wedge (H\bar{\nu}_j) \end{aligned}$$

Difficulties:  $G_{ij}$  depends on

- the Ricci-flat Kähler form  $J$
- the bundle metric  $H$  associated with the hermitian Yang-Mills connection
- harmonic representatives  $\nu_i$

This is too hard.. What can we do?

Let's look at something simpler, line bundles on  $\mathbb{P}^1$ .

Let's look at something simpler, line bundles on  $\mathbb{P}^1$ .

Let  $z$  be an affine coordinate on  $\mathbb{P}^1$  and  $\mathcal{L} = \mathcal{O}(\mathbb{P}^1, k)$ , with  $k \leq -2$ .

$$\hat{J} = \frac{i}{2\pi\kappa^2} dz \wedge d\bar{z}, \quad \kappa = 1 + |z|^2$$

$$\hat{F} = -2\pi i k \hat{J} = \bar{\partial} \partial \ln \hat{H}, \quad \hat{H} = \kappa^{-k}$$

Let's look at something simpler, line bundles on  $\mathbb{P}^1$ .

Let  $z$  be an affine coordinate on  $\mathbb{P}^1$  and  $\mathcal{L} = \mathcal{O}(\mathbb{P}^1, k)$ , with  $k \leq -2$ .

$$\hat{J} = \frac{i}{2\pi\kappa^2} dz \wedge d\bar{z}, \quad \kappa = 1 + |z|^2$$

$$\hat{F} = -2\pi i k \hat{J} = \bar{\partial} \partial \ln \hat{H}, \quad \hat{H} = \kappa^{-k}$$

We want to find harmonic,  $\mathcal{L}$ -valued forms  $\hat{\nu}$  on  $\mathbb{P}^1$ . These must be globally well-defined, hence by demanding the correct transformation property between the two patches of  $\mathbb{P}^1$  and imposing  $\bar{\partial} \hat{\nu} = 0$  and  $\partial(\hat{H} \hat{\nu}) = 0$  we obtain

$$\hat{\nu} = \kappa^k P_{-k-2}(\bar{z}) d\bar{z},$$

where  $P_{-k-2}(\bar{z})$  is a polynomial of degree  $-k - 2$  in  $\bar{z}$ .



Let's look at something simpler, line bundles on  $\mathbb{P}^1$ .

Let  $z$  be an affine coordinate on  $\mathbb{P}^1$  and  $\mathcal{L} = \mathcal{O}(\mathbb{P}^1, k)$ , with  $k \leq -2$ .

$$\hat{J} = \frac{i}{2\pi\kappa^2} dz \wedge d\bar{z}, \quad \kappa = 1 + |z|^2$$

$$\hat{F} = -2\pi i k \hat{J} = \bar{\partial} \partial \ln \hat{H}, \quad \hat{H} = \kappa^{-k}$$

We want to find harmonic,  $\mathcal{L}$ -valued forms  $\hat{\nu}$  on  $\mathbb{P}^1$ . These must be globally well-defined, hence by demanding the correct transformation property between the two patches of  $\mathbb{P}^1$  and imposing  $\bar{\partial} \hat{\nu} = 0$  and  $\partial(\hat{H} \hat{\nu}) = 0$  we obtain

$$\hat{\nu} = \kappa^k P_{-k-2}(\bar{z}) d\bar{z},$$

where  $P_{-k-2}(\bar{z})$  is a polynomial of degree  $-k-2$  in  $\bar{z}$ . Then

$$(\hat{\nu}, \hat{\nu}) = \int_{\mathbb{P}^1} \hat{\nu} \hat{H} \bar{\nu} = \int_{\mathbb{P}^1} |P|^2 \kappa^k dz d\bar{z} \quad \text{localises for large } |k|$$

Plot the integrand  $|P|^2 \kappa^k$

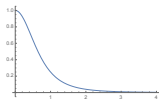
$P = 1$

$P = \bar{z}$

$P = \bar{z}^2$

$P = \bar{z}^3$

$k = -2$



Plot the integrand  $|P|^2 \kappa^k$

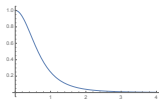
$P = 1$

$P = \bar{z}$

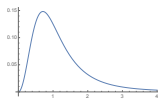
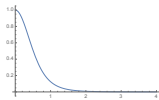
$P = \bar{z}^2$

$P = \bar{z}^3$

$k = -2$



$k = -3$



Plot the integrand  $|P|^2 \kappa^k$

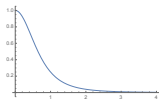
$$P = 1$$

$$P = \bar{z}$$

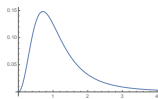
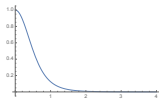
$$P = \bar{z}^2$$

$$P = \bar{z}^3$$

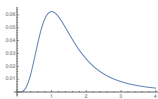
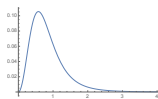
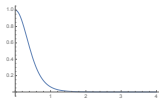
$$k = -2$$



$$k = -3$$



$$k = -4$$



Plot the integrand  $|P|^2 \kappa^k$

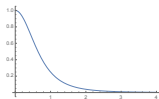
$P = 1$

$P = \bar{z}$

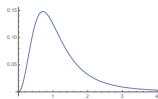
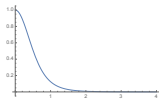
$P = \bar{z}^2$

$P = \bar{z}^3$

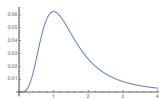
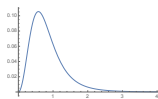
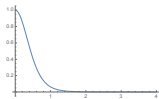
$k = -2$



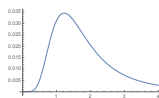
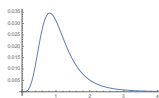
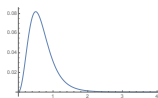
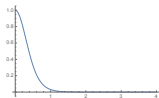
$k = -3$



$k = -4$



$k = -5$



## An $E_6$ Model on the Tetraquadric

Consider a generic tetra-quadric hypersurface

$X = \{p = 0\} \subset \mathcal{A} = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ ; affine coordinates  $z_1, z_2, z_3, z_4$ .

$$X = \begin{matrix} \mathbb{P}^1 \\ \mathbb{P}^1 \\ \mathbb{P}^1 \\ \mathbb{P}^1 \end{matrix} \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \end{bmatrix}^{4,68} \quad V = L_1 \oplus L_2 \oplus L_3 = \begin{bmatrix} -2 & 0 & 2 \\ 0 & -2 & 2 \\ 1 & 1 & -2 \\ 0 & 0 & 0 \end{bmatrix}$$

## An $E_6$ Model on the Tetraquadric

Consider a generic tetra-quadric hypersurface

$X = \{p = 0\} \subset \mathcal{A} = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ ; affine coordinates  $z_1, z_2, z_3, z_4$ .

$$X = \begin{matrix} \mathbb{P}^1 \\ \mathbb{P}^1 \\ \mathbb{P}^1 \\ \mathbb{P}^1 \end{matrix} \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \end{bmatrix}^{4,68} \quad V = L_1 \oplus L_2 \oplus L_3 = \begin{bmatrix} -2 & 0 & 2 \\ 0 & -2 & 2 \\ 1 & 1 & -2 \\ 0 & 0 & 0 \end{bmatrix}$$

The low-energy gauge group is  $E_6 \times S(U(1)^3)$ . The **27**-multiplets carry  $U(1)$  charges.

Cohomology of  $L_i$  via Koszul sequence  $0 \rightarrow \mathcal{N}^* \otimes \mathcal{L} \rightarrow \mathcal{L} \rightarrow L \rightarrow 0$   
where  $\mathcal{N} = \mathcal{O}_{\mathcal{A}}(2, 2, 2, 2)$  and  $L = \mathcal{L}|_{\mathcal{A}}$

$$H^1(X, \mathcal{L}_1) \simeq H^1(\mathcal{A}, \mathcal{L}_1) \simeq \mathbb{C}^2$$

$$H^1(X, \mathcal{L}_2) \simeq H^1(\mathcal{A}, \mathcal{L}_2) \simeq \mathbb{C}^2$$

$$H^1(X, \mathcal{L}_3) \simeq H^1(\mathcal{A}, \mathcal{L}_3) \oplus H^2(\mathcal{A}, \mathcal{L}_3 \otimes \mathcal{N}^*) \simeq \mathbb{C}^3 \oplus \mathbb{C}^9$$

# Holomorphic Yukawa Coupling

The only non-trivial Yukawa coupling  $\lambda(\nu_1, \nu_2, \nu_3)$  corresponds to

- $\nu_1 = \hat{\nu}_1|_X$  ,  $\hat{\nu}_1 \in H^1(\mathcal{A}, \mathcal{L}_1)$
- $\nu_2 = \hat{\nu}_2|_X$  ,  $\hat{\nu}_2 \in H^1(\mathcal{A}, \mathcal{L}_2)$
- $\nu_3 = \hat{\nu}_3|_X$  ,  $\bar{\partial}\hat{\nu}_3 = p\hat{\omega}$  ,  $\hat{\omega} \in H^2(\mathcal{A}, \mathcal{N}^* \otimes \mathcal{L}_3)$



# Holomorphic Yukawa Coupling

The only non-trivial Yukawa coupling  $\lambda(\nu_1, \nu_2, \nu_3)$  corresponds to

- $\nu_1 = \hat{\nu}_1|_X$  ,  $\hat{\nu}_1 \in H^1(\mathcal{A}, \mathcal{L}_1)$
- $\nu_2 = \hat{\nu}_2|_X$  ,  $\hat{\nu}_2 \in H^1(\mathcal{A}, \mathcal{L}_2)$
- $\nu_3 = \hat{\nu}_3|_X$  ,  $\bar{\partial}\hat{\nu}_3 = p\hat{\omega}$  ,  $\hat{\omega} \in H^2(\mathcal{A}, \mathcal{N}^* \otimes \mathcal{L}_3)$

We can write the ambient space forms explicitly,

$$\hat{\nu}_1 = \frac{1}{\kappa_1^2}(a_1 + b_1 z_3)d\bar{z}_1 , \quad \hat{\nu}_2 = \frac{1}{\kappa_2^2}(a_2 + b_2 z_3)d\bar{z}_2$$

$$\hat{\omega} = \frac{1}{\kappa_3^4 \kappa_4^2}(a_3 + b_3 \bar{z}_3 + c_3 \bar{z}_3^2)d\bar{z}_3 \wedge d\bar{z}_4$$

$$\lambda(\nu_1, \nu_2, \nu_3) = \int_X \Omega \wedge \nu_1 \wedge \nu_2 \wedge \nu_3 = \frac{1}{\pi} \int_{\mathbb{C}^4} d^4 z \wedge \hat{\nu}_1 \wedge \hat{\nu}_2 \wedge \hat{\omega}_3$$

# Holomorphic Yukawa Coupling

The only non-trivial Yukawa coupling  $\lambda(\nu_1, \nu_2, \nu_3)$  corresponds to

- $\nu_1 = \hat{\nu}_1|_X$  ,  $\hat{\nu}_1 \in H^1(\mathcal{A}, \mathcal{L}_1)$
- $\nu_2 = \hat{\nu}_2|_X$  ,  $\hat{\nu}_2 \in H^1(\mathcal{A}, \mathcal{L}_2)$
- $\nu_3 = \hat{\nu}_3|_X$  ,  $\bar{\partial}\hat{\nu}_3 = p\hat{\omega}$  ,  $\hat{\omega} \in H^2(\mathcal{A}, \mathcal{N}^* \otimes \mathcal{L}_3)$

We can write the ambient space forms explicitly,

$$\hat{\nu}_1 = \frac{1}{\kappa_1^2}(a_1 + b_1 z_3)d\bar{z}_1 \quad , \quad \hat{\nu}_2 = \frac{1}{\kappa_2^2}(a_2 + b_2 z_3)d\bar{z}_2$$

$$\hat{\omega} = \frac{1}{\kappa_3^4 \kappa_4^2}(a_3 + b_3 \bar{z}_3 + c_3 \bar{z}_3^2)d\bar{z}_3 \wedge d\bar{z}_4$$

$$\lambda(\nu_1, \nu_2, \nu_3) = \int_X \Omega \wedge \nu_1 \wedge \nu_2 \wedge \nu_3 = \frac{1}{\pi} \int_{\mathbb{C}^4} d^4 z \wedge \hat{\nu}_1 \wedge \hat{\nu}_2 \wedge \hat{\omega}_3$$

$$\lambda(\nu_1, \nu_2, \nu_3) = \frac{(2\pi)^3}{3} (2 a_1 a_2 a_3 + 2 b_1 b_2 c_3 + a_1 b_2 b_3 + b_1 a_2 b_3)$$

Let us restrict to the three multiplets that correspond to

$$\hat{\nu}_1 = \frac{1}{\kappa_1^2} d\bar{z}_1, \quad \hat{\nu}_2 = \frac{1}{\kappa_2^2} d\bar{z}_2$$
$$\hat{\omega} = \frac{1}{\kappa_3^4 \kappa_4^2} d\bar{z}_3 \wedge d\bar{z}_4$$

The holomorphic Yukawa coupling takes the value  $\frac{16\pi^3}{3}$ .

Next, we need to compute the normalisation of the forms  $\hat{\nu}_1$ ,  $\hat{\nu}_2$  and  $\hat{\nu}_3$  defined by  $\bar{\partial}\hat{\nu}_3 = p\hat{\omega}$ .

## Normalisation integrals

The normalisation integrals for the above forms localise around the origin  $z_1 = z_2 = z_3 = z_4 = 0$ . By a suitable coordinate redefinition on the embedding projective spaces, the origin can be chosen to be a point on  $X$ .

The normalisation integrals have to be carried out on  $X$ , not on  $\mathcal{A}$ . I'm skipping a long technical discussion on how the restrictions to  $X$  of the above ambient space harmonic forms are related to forms on  $X$  that are harmonic with respect to the Ricci-flat metric.

## Physical Yukawa coupling

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_1, \hat{\nu}_1) \approx \frac{\pi}{4t_1}$$

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_2, \hat{\nu}_2) \approx \frac{\pi}{4t_2}$$

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_3, \hat{\nu}_3) \approx \frac{\pi}{4^4} \left( \frac{1}{t_1} + \frac{1}{t_2} + \frac{5}{t_3} \right)$$

With these normalisations, the above holomorphic Yukawa coupling translates into the following physical Yukawa coupling

$$Y(C_1, C_2, C_3) \approx \frac{4^5 \pi^{3/2}}{3} t_1 t_2 \sqrt{\frac{t_3}{5t_1 t_2 + t_1 t_3 + t_2 t_3}}$$

## Physical Yukawa coupling

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_1, \hat{\nu}_1) \approx \frac{\pi}{4t_1}$$

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_2, \hat{\nu}_2) \approx \frac{\pi}{4t_2}$$

$$\frac{1}{2\mathcal{V}}(\hat{\nu}_3, \hat{\nu}_3) \approx \frac{\pi}{4^4} \left( \frac{1}{t_1} + \frac{1}{t_2} + \frac{5}{t_3} \right)$$

With these normalisations, the above holomorphic Yukawa coupling translates into the following physical Yukawa coupling

$$Y(C_1, C_2, C_3) \approx \frac{4^5 \pi^{3/2}}{3} t_1 t_2 \sqrt{\frac{t_3}{5t_1 t_2 + t_1 t_3 + t_2 t_3}}$$

Thank you for listening!